

ILLINOIS REGISTER
ILLINOIS RACING BOARD
NOTICE OF ADOPTED RULES

PART 422
APPROVAL OF RACING OFFICIALS

Section

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Section 422.10 Racing Officials

- a) Each organization licensee shall submit to the Board for its approval or disapproval the names of all persons whom the licensee has selected as racing officials or employees whose duties relate to the actual running of the races. The list shall include, when applicable, the following thoroughbred and harness racing officials:

Association Stewards	Clerk of the Scales
Presiding Judge	Director of Security
Associate Judge	Clerk of Course
Racing Secretary	Track Veterinarian
Assistant Racing Secretary	Track Superintendent
Patrol Judges	Mutuel Manager
Placing Judges	Timer
Paddock Judges	Program Director
Breathalyzer Operator	General Manager
Starter	
Marshal or Outrider	

- b) The list of names shall be submitted to the Board in writing at least 20 days prior to the opening of any race meeting and the list shall indicate whether the nominee has previously been employed at any Illinois race track.

Section 422.20 Approval of New Officials

- a) The organization licensee shall file the following documents with respect to each nominee who was not previously approved by the Board as a racing official:
- 1) A complete application which must include the following information:

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- A) Date of birth,
 - B) Social security number,
 - C) Home address,
 - D) Home telephone number,
 - E) Names of previous employers,
 - F) Phone numbers and contact person for verification of prior employment, and
 - G) Educational background
- 2) A signed statement ("Authorization for Release of Information Form") authorizing the release of information to the Illinois Department of Law Enforcement and the Board.
 - 3) A completed FBI Fingerprint Card
- b) The documents shall be filed directly with the Board at least sixty days prior to the opening of the race meeting.

Section 422.30 Standards for Approval and Disapproval of Officials

The Board's approval or disapproval of the racing officials selected by the organization licensee shall be based on the standards and guidelines set forth in Sections 37-15(c) and (d) of the Act for denial, suspension, and revocation of an occupation license. The Board shall also give particular consideration to the nominee's experience, if any, as a racing official and his/her qualifications for the position.

Section 422.40 Recommendation of Board

The Board shall act on the organization licensee's list of officials at a meeting of the Board. If Board staff recommends disapproval of an official, the organization licensee shall be notified of the recommendation in advance of the Board meeting.

Section 422.50 Suspension and Removal of Officials

The Board may suspend or remove a racing official. The Board's action in the suspension or removal of a racing official shall be based on the standards and guidelines set forth in Sections 37-15(c) and (d) of the Act for denial, suspension, and revocation of an occupation license.

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Section 422.60 Conflict of Interest Provisions

No person shall serve or act as a racing official of a race meeting if that person holds an occupation license at that same meeting as an owner, trainer, jockey, driver, blacksmith, veterinarian, or vendor, except that the disqualification, as an owner, shall not apply to the general manager where there is full disclosure of such ownership to the Board.

Section 422.70 Emergency Approval

If a racing official approved by the Board becomes incapacitated or is unable to perform his/her duties, the stewards shall approve an emergency appointment. Such approval shall be based on the standards set forth in Section 422.30 and shall be immediately reported to the Board for consideration at the next Board meeting. If the emergency appointee has not previously been considered by the Board, the organization licensee shall comply with Section 422.20.

Section 422.80 Physical Examination

The Board may request any person whose name is proposed as a racing official to submit to a physical examination and to forward the results of said examination to the Board. The request will be made only where there is a reasonable basis for suggesting that the person's physical condition would hinder or prevent him/her from performing his/her duties as a racing official.

Section 422.90 Officials Approved by the Stewards

- a) The organization licensee shall submit to the stewards for their approval or disapproval the names and complete license applications of all persons whom the organization licensee has selected as racing officials or employees whose duties relate to the actual running of the races.
- b) Stewards shall approve or disapprove the nominees based on the standards set forth in Section 422.30.

Section 422.100 Occupation License

No person shall serve or act as a racing official unless such person has an occupation license.

Section 422.110 Penalties

For each violation of this Part 422, the Board shall impose a civil penalty of up to \$1,000 against individuals and up to \$10,000 against organizations. When imposing penalties, the Board shall consider all factors in aggravation or mitigation of the offense including, but not limited to, the degree of culpability; the offender's record, if any, of previous violations, and the damage to the state.

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SOURCE: Adopted at 5 Ill. Reg. 10341, effective September 25, 1981; codified at 5 Ill. Reg. 10905, amended at 10 Ill. Reg. 10141, effective May 27, 1986; amended at 13 Ill. Reg. 1558, effective January 23, 1989; amended at 16 Ill. Reg. 13069, effective August 10, 1992; amended at 35 Ill. Reg. effective May 23, 2011.